



10 July 2014

Jeremy Newman  
Adviser, Listings Compliance (Perth)  
ASX Compliance Pty Limited  
Level 40, Central Park  
152-158 St Georges Terrace  
PERTH WA 6000

***Via email: [jeremy.newman@asx.com.au](mailto:jeremy.newman@asx.com.au)***

Dear Jeremy

**RE: Platypus Minerals Limited (“Company”)**

I refer to your letter dated 10 July 2014 in relation to the late lodgement of an Appendix 3Y for Mr Tom Dukovic, lodged with ASX on 10 June 2014, and respond to your questions as follows:

1. The Appendix 3Y was lodged in relation to two changes in Mr Dukovic’s holdings, both of which resulted from the expiry of options, not through active trading in the Company’s securities. The late lodgement was a result of a regrettable administrative oversight. The notice was lodged immediately upon the oversight being identified.
2. Each director is made aware, upon joining the Board, of their obligations to provide the Company with details of any changes to their notifiable interests, within the timeframes required by listing rule 3.19A. Additionally, the Company is authorised to provide that information to ASX on behalf of and as agent for the director.
3. Notwithstanding the oversight on this occasion, the Company is satisfied that the current arrangements are adequate to ensure compliance with listing rule 3.19B.

Yours sincerely,

A handwritten signature in black ink, appearing to read "P. McQuillan". The signature is written in a cursive, flowing style.

Paul McQuillan  
**Company Secretary**



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10 July 2014

Paul McQuillan  
Company Secretary  
Platypus Minerals Limited

*By email: paul.mcquillan@platypusminerals.com.au*

Dear Paul

**Platypus Minerals Limited (the "Company")**

We refer to the following:

1. Appendix 3Y lodged with ASX Ltd ("ASX") on 9 June 2014 regarding a change of director's interest notice for Mr Tom Dukovic ("Appendix 3Y").
2. Listing rule 3.19A which requires an entity to tell ASX the following:
  - 3.19A.1 *The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the following times:*
    - *On the date that the entity is admitted to the official list.*
    - *On the date that a director is appointed.*

*The entity must complete an Appendix 3X and give it to ASX no more than 5 business days after the entity's admission or a director's appointment.*
  - 3.19A.2 *A change to a notifiable interest of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust). The entity must complete an Appendix 3Y and give it to ASX no more than 5 business days after the change occurs.*
  - 3.19A.3 *The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the date that the director ceases to be a director. The entity must complete an Appendix 3Z and give it to ASX no more than 5 business days after the director ceases to be a director.*
3. Listing rule 3.19B which states as follows.

*An entity must make such arrangements as are necessary with a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) to ensure that the director discloses to the entity all the information required by the entity to give ASX completed Appendices 3X, 3Y and 3Z within the time period allowed by listing rule 3.19.A. The entity must enforce the arrangements with the director.*

The Appendix 3Y indicates that changes in the notifiable interest of the director occurred on both 21 and 30 April 2014. It appears that the Appendix 3Y should have been lodged with ASX in respect of those changes by no later than 29 April and 7 May 2014 respectively. Consequently, the Company may be in breach of listing rules 3.19A and/or 3.19B.

Please note that ASX is required to record details of breaches of the listing rules by listed companies for its reporting requirements.

ASX reminds the Company of its contract with ASX to comply with the listing rules. In the circumstances ASX considers that it is appropriate that the Company make necessary arrangements to ensure there is not a reoccurrence of a breach of the listing rules.

Having regard to listing rules 3.19A and 3.19B and Guidance Note 22: "Director Disclosure of Interests and Transactions in Securities - Obligations of Listed Entities", we ask that you answer each of the following questions.

1. Please explain why the Appendix 3Y was lodged late.
2. What arrangements does the Company have in place with its directors to ensure that it is able to meet its disclosure obligations under listing rule 3.19A?
3. If the current arrangements are inadequate or not being enforced, what additional steps does the Company intend to take to ensure compliance with listing rule 3.19B?

Your response should be sent to me by e-mail to [jeremy.newman@asx.com.au](mailto:jeremy.newman@asx.com.au). It should not be sent to the ASX Market Announcements Office.

A response is requested as soon as possible and, in any event, not later than **5:00 pm WST today**.

Under listing rule 18.7A, a copy of this query and your response will be released to the market, so your response should be in a form suitable for release and must separately address each of the questions asked. If you have any queries or concerns, please contact me immediately.

Yours sincerely,

*[sent electronically without signature]*

Jeremy Newman  
**Adviser, Listings Compliance (Perth)**